



Dkt. #770-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yee Mau CHEN and Sum Fat POON  
U.S. Serial No.: 10/616,417  
Filed : July 9, 2003  
For : LOCKING DEVICE SUSTAINING HIGH PRESSURE  
FOR COFFEE MAKER LID

Albert Wai-Kit Chan  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, New York 11357

July 31, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants would like to direct the Examiner's attention to the following references which are listed below and on Form PTO/SB/08A and PTO/SB/08B (which is attached hereto as **Exhibit A**), and each individual reference further attached as **Exhibit 1** through **14**.

1. U.S. Patent No. 4,254,694, March 10, 1981, Ernesto Illy, "Coffee Machine" [**Exhibit 1**]

2. U.S. Patent No. 4,429,623, February 7, 1984, Ernesto Illy, "Coffee-Making Machine" [**Exhibit 2**]

3. U.S. Patent No. 4,741,260, May 3, 1988, Domingo F. A. Bolivar, "Safety Lock in Steam Pressure Coffee Makers" [**Exhibit 3**]

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4. U.S. Patent No. 4,882,982, November 28, 1989, Mario Muttoni, "Percolator Holding Pan for Espresso Coffee Making Machines" **[Exhibit 4]**
5. U.S. Patent No. 5,197,374, March 30, 1993, Olivier Fond, "Apparatus for Extracting Cartridges Containing Coffee" **[Exhibit 5]**
6. U.S. Patent No. 5,388,502, February 14, 1995, Walter Hufnagl, "Espresso Coffee Machine" **[Exhibit 6]**
7. U.S. Patent No. 5,526,733, June 18, 1996, Klawuhn et al., "Brewing Device for Household Espresso Coffee Making Machine" **[Exhibit 7]**
8. U.S. Patent No. 5,531,152, July 2, 1996, Giancarlo Gardosi, "Platen Assembly for Coffee Maker Using Pre-Dosaged Coffee Filter Packets" **[Exhibit 8]**
9. U.S. Patent No. 5,638,741, June 17, 1997, Salvatore Cisaria, "Group Module for Coffee Machine" **[Exhibit 9]**
10. U.S. Patent No. 6,481,338 B1, November 19, 2002, Darrin M. Wai, "Spoon Shaped Coffee Brewing Apparatus" **[Exhibit 10]**
11. U.S. Patent Application Publication US 2001/0050002 A1, December 13, 2001, Francesco Bonanno, "Method and Device for Preparing Espresso Coffee" **[Exhibit 11]**
12. European Patent Application No. EP 1169956 A2, January 9, 2002, Sara Lee/DE N.V., "Apparatus for Preparing Coffee Having a Small-Bubbled Foam Layer" **[Exhibit 12]**

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13. International Patent Application No. WO 01/15582 A1,  
March 8, 2001, Sara Lee/DE N.V., "Apparatus for  
Preparing Coffee" [Exhibit 13]

14. International Patent Application No. WO 02/19876 A1,  
March 14, 2002, Sara Lee/DE N.V., "Apparatus for  
Preparing a Coffee Extract with a Fine-Bubble Froth  
Layer Using a Liquid Flow Decelerating Barrier"  
[Exhibit 14]

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

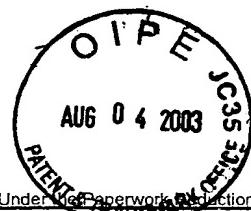
Respectfully submitted,

Albert Wai-Kit Chan

Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicants  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, NY 11357  
Tel: (718) 357-8836  
Fax: (718) 357-8615  
e-mail: kitchanlaw@aol.com

I hereby certify that this paper is being deposited this date with the U.S. Postal Service with sufficient postage for first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Albert Wai-Kit Chan 7/31/03  
Albert Wai-Kit Chan Date  
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PTO/SB/08A (04-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	Not yet known
Filing Date	, 2003
First Named Inventor	Yee Mau CHEN
Art Unit	
Examiner Name	

Attorney Docket Number 770-US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,254,694	03-10-1981	Illy	Fig1 & p6, c6, 35-42
		US- 4,429,623	02-07-1984	Illy	p4, c4, 30-44
		US- 4,741,260	05-03-1988	Bolivar	p4, c4, 9-43
		US- 4,882,982	11-28-1989	Muttoni	p6, c6, 1-26
		US- 5,197,374	03-30-1993	Fond	p7, c2, 34-62
		US- 5,388,502	02-14-1995	Hufnagl	Fig1-2, p16 c20 40-
		US- 5,526,733	06-18-1996	Klawuhn et al.	Fig1-2, p10 c4 38-67
		US- 5,531,152	07-02-1996	Gardosi	p9, c6, 8-22
		US- 5,638,741	06-17-1997	Cisaria	p6, c4-5, 52-9
		US- 6,481,338	11-19-2002	Wai	Fig. 2-9, 11
		US- 2001/0050002A1	12-13-2001	Bonanno	p4, 0015-0016
		US-			

**FOREIGN PATENT DOCUMENTS**

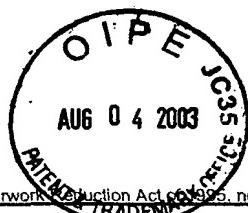
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		WO 02/19876 A1	03-14-2002	Sara Lee/de N.V	p8, 21-27	
		WO 01/15582 A1	03-08-2001	Sara Lee/de N.V	fig.1-4, 5A, 5B	
		EU Appl. 1169956	01-09-2002	Sara Lee/de N.V	p2, c2, 08-09	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Application Number	Not yet known
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Attorney Docket Number	770-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Not applicable	

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